The IDEAS Project: evaluating complexity in maternal and newborn health in Ethiopia, Nigeria and India

Joanna Schellenberg

12.45-2, Bennett Room, Friday 15 November, Keppel Street, WC1E 7HT





Outline

- Background, motivation
- Objectives, research questions
- Selected methods and results
- Technical Resource Centre
- Who we are
- Highlights and challenges





IDEAS: Measurement, learning & evaluation of Bill & Melinda **Gates Foundation grants in 3 countries**

Society for Family Health



North-East Nigeria

PACT



COMBINE



MaNHEP





L10K



Community-based **Newborn Care**



Ethiopia



UP Community

Mobilization

Uttar Pradesh, India

Sure Start



Manthan



Better Birth







Hadiza

Northeast Nigeria



Ethiopia

Abrihet



Rani

Uttar Pradesh, India



Lifetime risk of

maternal

mortality[1]

1 in 67 Lifetime risk of

Lifetime risk of maternal mortality^[1]

Lifetime risk of

maternal

mortality[1]

Hadiza

Northeast Nigeria

Abrihet

Ethiopia

Rani

Uttar Pradesh, India



UK 1 in 4600

Lifetime risk of maternal mortality

Ethiopia

1 in 67

Lifetime risk of maternal mortality[1]



Lifetime risk of

maternal

mortality[1]

1 in 29

Nigeria

Lifetime risk of maternal mortality[1]

IDEAS objectives

- 1. To build capacity for measurement, learning & evaluation.
- 2. To characterise innovations.
- To measure efforts to enhance interactions between families & frontline workers and increase the coverage of critical interventions.
- 4. To explore scale-up of maternal and newborn health innovations
- 5. To investigate the impact on coverage and survival of maternal and newborn health innovations implemented at scale.
- 6. To promote best practice for policy.

Test the
Bill & Melinda
Gates Foundation's
maternal and
newborn health
Theory of Change





BMGF Maternal & Newborn Health Strategy Theory of change

Innovation

Community-based approach to enhancing health, new to the context

Interaction

Enhanced interactions between families and frontline workers

Intervention

Increased coverage of life-saving interventions

Improved maternal and newborn survival

Scale-up

An innovation is increased in reach to benefit a greater number of people over a wider geographical area





An **innovation** is a approach to improve maternal and newborn health that is new to the setting.



Interactions
between families
and frontline
workers



Evidence-based, life-saving interventions*

Antenatal	Intra-partum	Post-natal & post-partum		
Tetanus toxoidIronSyphilis prevention	 Delivery attendant: hand washing with soap & use of gloves Prophylactic uterotonics to prevent post-partum haemorrhage Active management of third stage of labour Caesarean sections 	 Detection & treatment of maternal sepsis & anaemia Clean cord care Chlorhexidine on cord Thermal care Immediate & exclusive breastfeeding 		

^{*}Essential Interventions, Commodities and Guidelines for Reproductive, Maternal, Newborn and Child Health. PMNCH & Aga Khan University, 2011

What are the innovations?

Do innovations enhance interactions and increase life-saving intervention coverage? If so, how?

Innovation

Community-based approach to enhancing health, new to the context



Enhanced interactions between families and frontline workers



Intervention

Increased coverage of life-saving interventions



Improved maternal and newborn survival

How and why does scale-up happen?

Scale-up

An innovation is increased in reach to benefit a greater number of people over a wider geographical area

Q4

To what extent do scaled-up innovations affect coverage of life-saving interventions and survival?



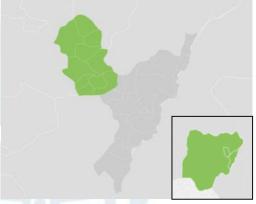


Q1 What are the innovations?

Call Centre

Society for Family Health





Local Government Areas, Gombe & Adamawa state, North-East Nigeria

HEW training and supervision

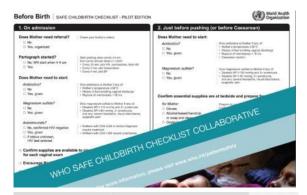
Last 10 Kilometers

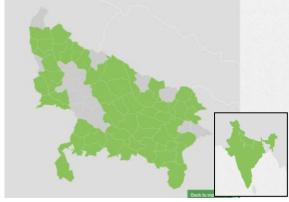




Zones, Ethiopia

Safe Childbirth Checklist Better Birth





Districts, Uttar Pradesh, India





Characterising grantee innovations

Types of innovation

Demand

- Individual Awareness-raising and Behaviour Change
- Community Mobilisation

- FLW Capacity-Strengthening
- FLW Motivation
- Job-aids to Enhance Provision
- Infrastructure Development
- Operational Enhancement

Supply

Questions linking to the Theory of Change

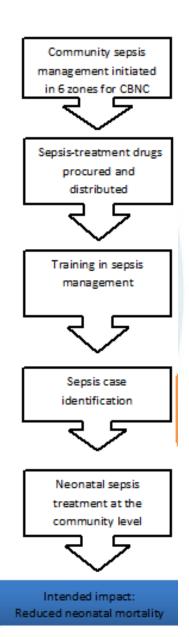
- 1. What are the types of innovation?
- 2. What are the innovations?
- 3. How do the innovations enhance frontline worker interactions?
- 4. What kind of enhancement?
- 5. What is the geographic scope and timing?





Foundation MNH innovations, by geography and project

Innovation type		Nigeria		Ethiopia			India			
		SFH	Pact	L10K	MaNHEP	SNL-COMBINE	Better Birth	Manthan	Sure Start	Com Behav'r Change
Demand	Individual awareness raising / beh change	Forum of Mothers- in-Law; Advocacy for men; Mass media event		Family conversations	BCC strategy; Family meetings/ Community gatherings.	Enhance MNH profile in the community.			The 1st Hour campaign; Mothers' Grps; Letter to my Father	Behaviour change management package
	Community Mobilisation	Forum of Mothers- in-Law		PCQI; Community Solutions Fund	Performance monitoring; Family meetings/ Com gatherings	MNH awareness in community structures.			Revitalise Village Health & Sanitation Committees	Create new Self Help Groups and their federations
AlddnS	FLW Capacity- Strengthen'g	Skilled TBA/PARE deployment; Skilled FOMWAN/EYN deployment.		HEW training and supervision; HDA training.	MNH Care Package.	CHP Training; HEW training; Com-based antibiotic administration		ANM training in Skilled Birth Attendance	ASHA Mentoring/supp ortive supervision	Capacity Building of the SHGs and their federations.
	FLW Motivation	Financial Incentives for FLW.		Anchors; NFI for HDA		HEW training.			Recognise good performance of ASHAs and VHSC	
	Job-aids to Enhance Provision	FLWs' Toolkit		HEW and HDA Toolkit; Family Health Card	MNH care package.		Safe Childbirth Checklist	mSakhi; mSakhi Newborn Care.	ASHA toolkit	
	Infrastructure Development	Call Centre; Emergency Transport Scheme; Upgrade PHC facilities.	Build and support a TBA Forum					Emergency Medical Transport Scheme.	Revitalise Village Health & Sanitation Committees	
	Operational Enhancement	Mapping; Links with pastoralist / remote communities; CDK supplies through PPMV.	Planning for Institutional Strengthening; Capacity development for Frontline Org'ns; MNCH value network; Enhanced collaboration - CSOs and Government	Anchors; Improved referral linkages; CBDDM; PCQI. Family Health Card	FLW team development; Performance monitoring.	HEW/HDA linkages	Safe Childbirth Checklist	Mother and Child Tracking System	NGO Partnership architecture	Scaling up of project health innovations







Input

Process

Community sepsis management initiated in 6 zones for CBNC

UNICEF/ELMA/USAID funding

FMOH Policy, strategy, guidance and implementation directions to regions and partners (Leadership/Governance)

RHB/ZHD give guidance and implementation directions to zone and woredas (Governance

FMOH, RHBs/ZHDs mobilizes resources

Sepsis-treatment drugs procured and distributed

FM HACA registers sepsis-treatment drugs, facilitates importation

PFSA supplies drugs to HCs (or WHO) UNICEF & partners support the procurement and distribution of drugs

HCs supply drugs to HPs re-supply on request

Training in sepsis management

Partners support FMOH with training materials FMOH, RHB/ZHD coordinate training

Woredas conduct training at PHCU level Process)

Staff Tumover

Sepsis case

identification

HD As identify

HDAs notify HEWs about sick neon at es HEWs identify sick neonates, refer to HC when possible HCs refer sepsis cases back down to HP when necessary Supportive supervision including review meetings and clinical mentoring

M&E (Process)

Neonatal sepsis treatment at the community level

₹₹

HDAs and HEWs improve careseeking and mobilize community

HDAs refer sick newborns to facilities HDAs support caretaker in treatment compliance and home management of sick neonates HEWs deliver quality sepsis treatment (treat appropriately / ensure compliance

Supportive supervision incl. PRCMM for HEWs, supervision of HDAs

Intended impact: Reduced neonatal mortality

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Supportive supervision incl. PRCMM for HEWs, supervision of HDAs

Intended impact: Reduced neonatal mortality M&E (Process)

Comment

- Reveals gaps in funding, lack of integration, commonalities ...
- Pathways and process evaluations
 - Theory of change for each innovation
 - Monitoring data central
 - Basis for developing implementation strength measure





 ${f Q2}$ Do innovations enhance interactions and increase life-saving intervention coverage? If so, how?

Innovation

Community-based approach to enhancing health, new to the context



Enhanced interactions between families and frontline workers



Increased coverage of life-saving interventions

Improved maternal and newborn survival

Scale-up

An innovation is increased in reach to benefit a greater number of people over a wider geographical area





- How do enhanced interactions lead to an increase in critical interventions?
 - Qualitative work, 2014
- Cost-effectiveness
 - Economic modelling
- Surveys of households, frontline workers and health facilities.
- Before & after, intervention & comparison areas.
- Tracking contextual factors.
- Baseline 2012, endline 2014+





Interactions & coverage study area: Uttar

Pradesh, India



BASELINE SURVEY (Nov 2012)

80 clusters

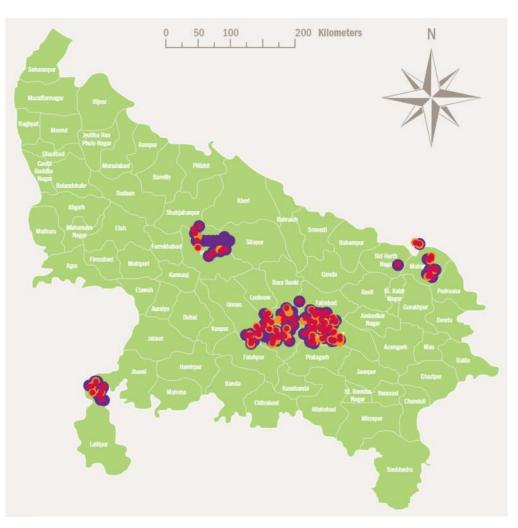
5258 households

604 women with recent birth

62 Skilled birth attendants

155 Unskilled attendants

60 Primary health facilities



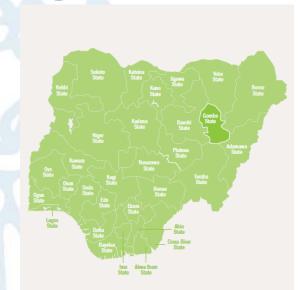
Uttar Pradesh, 2010:

MMR: 440/100,000

NMR: 45/1,000

Interactions & coverage study area: Gombe State,

Nigeria



BASELINE SURVEY (June 2012)

40 clusters

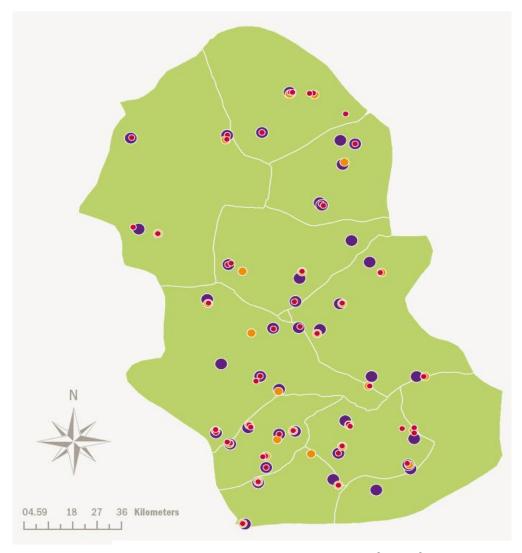
1868 households

349 women with recent birth

20 Skilled birth attendants

41 Unskilled attendants

25 Primary health facilities



Nigeria, 2010:

MMR: 840/100,000

NMR: 39/1,000

Interactions & coverage study area: Ethiopia

BASELINE SURVEY (June 2012)

80 clusters

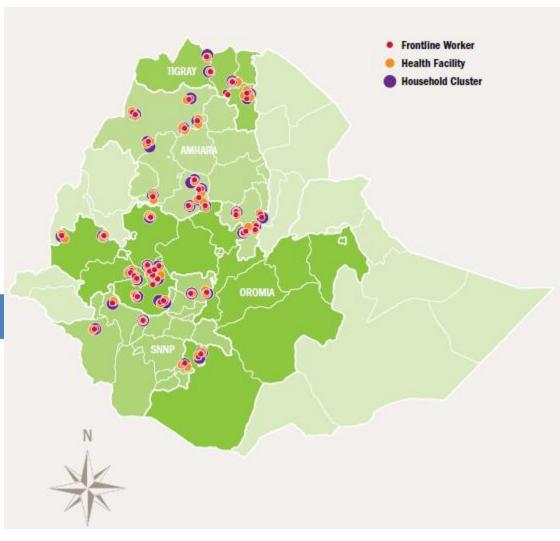
4294 households

533 women with recent birth

77 Skilled birth attendants

239 Unskilled attendants

81 Primary health facilities



Ethiopia, 2010:

MMR: 470/100,000

NMR: 35/1,000

Frequency of interactions

Women with a birth in last 12 months

		NE Nigeria N=348	Ethiopia N=277	UP N=308
		% (95% CI)	% (95% CI)	% (95% CI)
1	Women who had at least one antenatal care visit with a skilled provider	35% (26-43)	32% (22-45)	64% (55-72)
2	Women who had a least 4 pregnancy care interactions (any provider)	40% (30-51)	22% (14-33)	29% (24-36)
3	Facility deliveries (public or private health centre or hospital)	30% (20-40)	15% (8-24)	75% (68-81)
4	Births attended by a skilled attendant (Doctor/nurse/midwife)	22% (14-29)	16% (10-26)	76% (69-81)
5	Women had ≥ 1 post-partum check within 2 days of birth	7% (4-9)	4% (2-7)	56% (50-63)
6	Newborns had ≥ 1 post-natal check within 2 days of birth	4% (2-7)	4% (2-7)	18% (13-25)

Post-natal care processes: reported content of care amongst newborns who had at least one post-natal contact within 48 hours of birth

		NE Nigeria (N=14)	Ethiopia (N=10)	UP (N=56)
		% (95% CI)	% (95% CI)	% (95% CI)
1	Weight checked	14 (3-52)	20 (6-52)	38 (21-57)
2	Cord checked	29 (11-55)	70 (35-91)	55 (39-71)
3	Body examined for danger signs	29 (11-55)	20 (6-52)	11 (5-20)
	Caregiver counselled:			
4	Thermal care	0	20 (5-57)	7 (3-17)
5	Breastfeeding	21 (6-53)	70 (35-91)	63 (48-75)





Coverage of live-saving interventions: newborn

Women with a birth in last 12 months

	NE Nigeria N=349	Ethiopia N=277	UP N=308
Clean cord care			
Cutting using a new blade	77% (70-83)	79% (70-85)	92% (86-95)
Tying cord with new or boiled string*	61% (51-70)	75% (67-81)	99% (95-100)
Nothing put on cord	74% (67-80)	69% (61-76)	70% (63-77)
Newborns with clean cord care*	28% (20-36)	43% (37-52)	49% (42-56)
Thermal care			
Immediate drying (<30 minutes)*	95% (92-98)	41% (35-49)	88% (83-92)
Immediate wrapping (<30 minutes)*	87% (81-92)	57% (47-67)	78% (71-84)
Delayed bathing (>6hrs)	82% (76-88)	36% (28-46)	24% (18-31)
Breastfeeding			
Immediate (<1hr)	40% (33-47)	50% (42-57)	51% (44-59)
Exclusive (3 days)	43% (36-50)	93% (86-96)	61% (53-69
. ,	,	. ,	, ,

^{*}Don't know responses excluded

Comment

- Challenges
 - Time frame different for all the innovations
 - No integration of demand and supply side investments





Innovation

Community-based approach to enhancing health, new to the context



Enhanced interactions between families and frontline workers

Intervention

Increased coverage of life-saving interventions

>

Improved maternal and newborn survival

How and why does scale-up happen?

Scale-up

An innovation is increased in reach to benefit a greater number of people over a wider geographical area





Aims

 To understand how to catalyse scale-up of externally funded MNH innovations and identify factors enabling or inhibiting their scale-up

Scale-up definition

 Increasing the geographical reach of externally funded MNH innovations to benefit a greater number of people beyond grantee programme districts

Methods

- 150 in-depth stakeholder interviews in 3 geographies in 2012
- Follow-up in 2014
- Constituencies in the field of MNH:
 - Government, development agencies, civil society
 - Foundation grantees and programme officers
 - Academics/researchers, professional associations, experts





To catalyse scale-up:



- Integrate scale-up within plans and resources
- Design for scale
- Build organisational capacity
- Advocate with government decision makers
- Generate and communicate strong evidence
- Align with government
- Ensure government involvement
- Harmonise efforts with development partners and implementers
- Invoke policy champions and networks of allies/partners
- Support and build government capacity for scale-up
- Work with community leaders and others to stimulate diffusion





Harmonisation and alignment

Q3 How and why does scale-up happen?

- Donors and grantees embracing country coordination mechanisms helps to:
 - Strengthen government strategic coordination of external programmes
 - Coordinate evidence presented to government
 - Share learning to strengthen innovations:
- Innovations aligned with government policies:
- Grantees' evidence aligned with government targets and indicators:

'People in India are not combining their expertise...instead of wasting time reinventing the wheel we need to come together...'

'What matters is the government's priority area and if your idea's not there, no matter how much you push, replication and scale-up are almost impossible'

'...the ministry wants to see the results – how the innovation can contribute to the ministry and the health sector...'





Comment

- Challenge of high-quality data collection at a distance
- Link to quantitative work has been challenging





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Community-based approach to enhancing health, new to the context



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To what extent do scaled-up innovations affect coverage of life-saving interventions and survival?



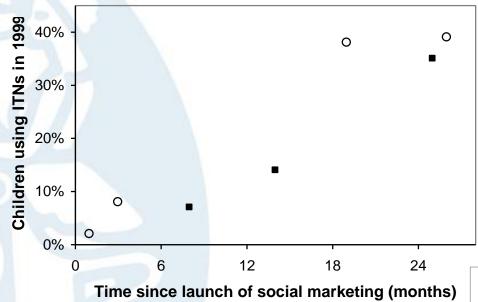


- Where community-based maternal and newborn health innovations have been implemented on a large scale beyond grantee areas, what is the effect on coverage of critical interventions and how does this depend on implementation strength? What survival impact can be expected?
- Implementation strength: the pooled effect of dose, duration, specificity, and intensity of an intervention in order to determine how much implementation effort is needed to achieve a meaningful change in coverage and health outcomes.

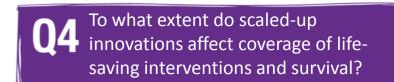




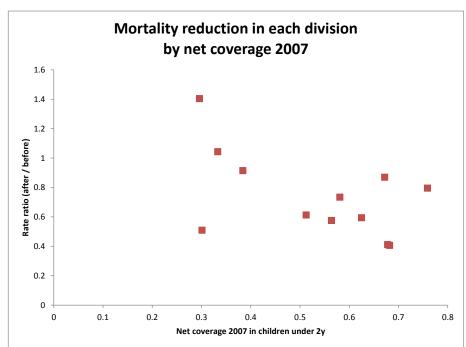
Coverage of ITNs in children under 5 years in July-August 1999 by time since start of social marketing programme (Schellenberg Lancet 2004)



Examples of doseresponse approach from other public health evaluations



Mortality reduction and coverage of treated nets



- Victora 2010 Lancet, "National evaluation platform" concept
 - Untouched comparison areas don't exist, roll-out often national
 - Dose-response analysis: implementation strength vs coverage change
 - Adjust for contextual factors that change over time
 - Monitoring data for the dose, household survey data for the response
- Local stakeholders views
- Data-informed platform for health (DIPH), dual-purpose
 - Feasibility studies in 3 countries
 - BMGF not keen on us being an implementation partner
 - Pilot work needed
- Implementation strength systematic review
- District level data for decision making systematic review
- ... but no innovations to study in 2010, 2011, 2012





Scale-up, coverage and survival, country-by-country

- India
 - Undergoing rapid change given new "Technical Support Unit" to support GoUP
- Nigeria
 - Scale-up of SFH innovations to Adamawa State
- Ethiopia
 - Community-based newborn care (CBNC)
 - Design
 - Implementation strength: sensitive issue
 - Moving ahead ...





Comment

- Implementation strength
 - Popular concept but not much relevant literature
 - Threat or opportunity?
 - Developing the methodology
- Timing, time scale





Promoting best practice for policy

- Advocating use of best available evidence to influence policy decisions
- IDEAS will:
 - Synthesise and disseminate maternal & newborn health research findings
 - Disseminate & publish IDEAS own findings
 - Promote exchange of learning
- We aim to:
 - Inform donor strategies, both the Bill & Melinda
 Gates Foundation and others
 - Influence government policy in Ethiopia, Nigeria & India, and in other countries with high maternal and newborn mortality
 - Influence international policy makers, e.g. WHO
 - Contribute to future research programs.







Technical Resource Centre

- Provides support to BMGF implementation grantees in measurement, learning and evaluation
 - Continuous survey support to SFH
 - Time and motion study with SNL
 - Reviewing protocols, manuscripts

Lessons:

- Implementation grantees did not perceive a need for support and were initially defensive.
- MLE partner support should be built into the grant.
- Best success where project teams have a clear view of needs and we plan together
- BMGF programme officers have a key role to play





Communications resources

- Website: blogs, news stories, interactive maps, events posts, image gallery
- Quarterly newsletter: highlight IDEAS and grantee work
- IDEAS twitter account
- TRC web seminars "how to" guides, technical issues, discussion of recent MNH papers

Website: ideas.lshtm.ac.uk

Newsletter sign up: eepurl.com/j3iBz

Twitter: @LSHTM_IDEAS











Who are we?

- 23 staff
 - 20 in London
 - 1 Addis
 - 1 Delhi
 - 1 Abuja
- 4 partner organisations









Team members



Dr Elizabeth Allen Statistician



Dr Bilal Avan Scientific Coordinator



Agnes Becker Communications Officer



Dr Della Berhanu Country Coordinator Ethiopia



Alice Curham Assistant Project Manager



Dr Meenakshi Gautham Country Coordinator India



Dr Zelee Hill Qualitative Lead



Krystyna Makowiecka Technical Resource Centre Coordinator



Lindsay Mangham-Jefferies Research Fellow in Health Economics



Dr Tanya Marchant Epidemiologist



Dr Boika Rechel Lead on Knowledge Transfer



Kate Sabot Research Fellow



Dr Joanna Schellenberg Principal Investigator



Dr Neil Spicer Qualitative Lead on Scale-up



Keith Tomlin Data Manager



Dr Nasir Umar Country Coordinator Nigeria



Shirine Voller Project Manager



Deepthi Wickremasinghe Information Specialist

Challenges

- Change
- Time-scale
- Partnership not in contracts
- Tension: project thinking and review of a long-term strategy

Highlights

- 'Ringside seat'
- Dedicated LSHTM team
- Multi-disciplinary
- 'Nobody knows how to do this'
- Practical, large-scale
- Evaluation































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