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Abstract

Growing evidence from a number of countries in Asia and Africa documents a large shift towards facility deliveries in the past decade. These increases have not led to the improvements in health outcomes that were predicted by health policy researchers in the past. In light of this unexpected evidence, we have assessed data from multiple sources, including nationally representative data from 43 countries in Asia and Africa, to understand the size and range of changing delivery location in Asia and Africa. We have reviewed the policies, programs and financing experiences in multiple countries to understand the drivers of changing practices, and the consequences for maternal and neonatal health and the health systems serving women and newborns. And finally, we have considered what implications changes in delivery location will have for maternal and neonatal care strategies as we move forward into the next stage of global action. As a result of our analysis we make four major policy recommendations. (1) An expansion of investment in mid-level facilities for delivery services and a shift away from low-volume rural delivery facilities. (2) Assured access for rural women through funding for transport infrastructure, travel vouchers, targeted subsidies for services and residence support before and after delivery. (3) Increased specialization of maternity facilities and dedicated maternity wards within larger institutions. And (4) a renewed focus on quality improvements at all levels of delivery facilities, in both private and public settings.

Keywords: Birth demographic shift, childbirth, developing countries, facility-based delivery, health care, home-delivery, location, maternal health, quality, safe delivery

Key Messages

- · Most deliveries in developing countries are now happening in hospitals and clinics.
- This recent demographic shift has not led to the improvements in outcomes that were expected.
- · The reason for this is continued poor quality care.
- The solution is a renewed focus on facility infrastructure, specialized services, access and both clinical and patientcentred support.

Introduction

In 2015, 303 500 women died during childbirth and 2.7 million babies died within the first month of their life. The Millennium Development Goals (MDGs) built on widely shared calls for action to advocate for skilled birth attendance as a primary strategy to reduce such deaths, with rapid access to emergency services for complications. Health facility delivery helps ensure that women have access to skilled attendance, and as a result increasing the rate of facility delivery has been a central approach to improve maternal and neonatal health (Campbell and Graham 2006). Global demographic, economic and social changes in the past 15 years are changing delivery norms in many low- and middle-income countries. These changes are part of larger shifts in the context of health systems, include high rates of urbanization due to the influx of rural migrants to urban centres, modernization and development, changing gender norms, and changing social expectations. Their cumulative effect has led to over-burdened health systems in cities (Montgomery 2009; Matthews et al. 2010). Understanding if this new and growing burden also applies to facility deliveries, where, and across which population is important in program and policy planning given changing demographic trends.

Growing evidence from a number of countries has shown large shifts towards facility deliveries in the past decade. In many places, these increases have not, apparently, led to the improvements predicted. In light of this unexpected evidence, we have assessed nationally representative data from 43 countries to understand the size and range of changing delivery location in Asia and Africa. Many of the changes currently observed are driven by national policies as well as demographic factors. To put our findings into context, we have reviewed the policies, programs and financing experiences in multiple countries to understand the drivers of changing practices, and the consequences for maternal and neonatal health and the health systems serving women and newborns. And finally, we have considered what implications changes in delivery location will have for maternal and neonatal care strategies as countries around the world set out to address the Sustainable Development Goals and move forward towards Universal Health Coverage and into the next stage of global action.

Methods

We used data from 43 Demographic and Health Surveys (DHS) collected between 2003 and 2013 to explore trends in facility deliveries, including all countries in Africa and Asia with at least two surveys during this period. We explored country and un-weighted regional changes in deliveries, and have broken down the results by wealth, location, place of delivery and more. Regions are defined by the United Nations standards for sub-regions. Significance testing was not done because of variations in time-between-surveys undertaken by DHS surveys in different countries. For a full list of countries and variables, please refer to a previously published paper in which the methods and variable selection are discussed in more detail (Diamond-Smith and Sudhinaraset 2015).

Results

We found that in the past 15 years, health facility deliveries have increased in all regions, in almost all wealth groups (Figure 1). The only exception is among the richest populations in Central and West Africa where there has been a slight decline in the percent of deliveries facilities. Across regions, South Asia seems to lag behind other

regions. This is driven primarily by India and Bangladesh, countries with historically high home birth rates. However, our analysis is based on the most recent public data, collected in India in 2005–6 before the Janani Suraksha Yojana (JSY) incentive program was implemented. Summary from the 2015–16 survey shows that facility deliveries have increased from 38.7% of all births in 2005–6 to 78.9% a decade later ("NFHS 4 Fact Sheet," 2017). Once the data from this most recent survey are made public this change will be significant enough to make India, and all of South Asia, a leader in the shift to facility births.

There are significant poor-rich inequalities over time across all regions with the wealthier more likely to access facility care for deliveries. Rates of facility delivery are quite high among the wealthy, reaching over 80% in all regions except for South Asia at over 60%. The poorest had much lower rates ranging from 17% in Southeast Asia to a high of 48% in Central Africa.

The shift towards facilities is also more than just a reflection of growing urbanization: the rate of health facility deliveries has increased in both urban and rural areas in all regions, save for stagnating rates in urban West Africa (Figure 2). In all parts of the world, women in urban areas are more likely to deliver in a facility than rural women, probably reflecting a combination of lack of availability of facilities, economic barriers to care, and social factors in rural areas.

Increases in facility deliveries have occurred in both public and private facilities, although there are regional differences (Figure 3). In Africa, the most dramatic increase occurred primarily in the public sector in rural areas, although the private sector has also increased everywhere except Southern Africa. In South and Southeast Asia, public and private sector facility deliveries have increased at similar rates. In these regions the private sector provides the majority of delivery care but is often not included in health system planning or data collection. This gap in policy and planning has significant implications as the private sector, heterogeneous and often unregulated, provides the majority of care for other maternal and paediatric health services as well.

Within regions, rates of transition vary, but the trend towards increased delivery within facilities is widely shared. Annualizing the rate of change for each country in our sample (Figures 4 and 5) shows that while the proportion of health facility deliveries declined in a few countries, this has often happened in the context of larger economic or political turmoil (e.g. Zimbabwe). On the other end of the spectrum, some countries have experienced particularly rapid increases. In some instances (e.g. Cambodia) this high rate of shift was driven by a very low starting point.

Policy context and discussion

This study finds that between 2003 and 2013, facility deliveries increased in all regions, in almost all wealth groups, particularly in rural areas, confirming the success of global strategies to increase skilled attendant deliveries (Campbell and Graham 2006). Our findings aggregate facility delivery trends across 10 years from 43 countries globally to assess broader trends in facility deliveries.

We also examined policy examples to contextualize the broader trends identified in the data. Major policy decisions may explain part of the upward trend in health facility deliveries, as evidenced by a few notable experiences in Asia and Africa. For instance, in Malawi and Rwanda, government regulations in the 2000 s greatly restricted access to assistance for home deliveries, effectively driving many women to deliver in facilities who might otherwise have

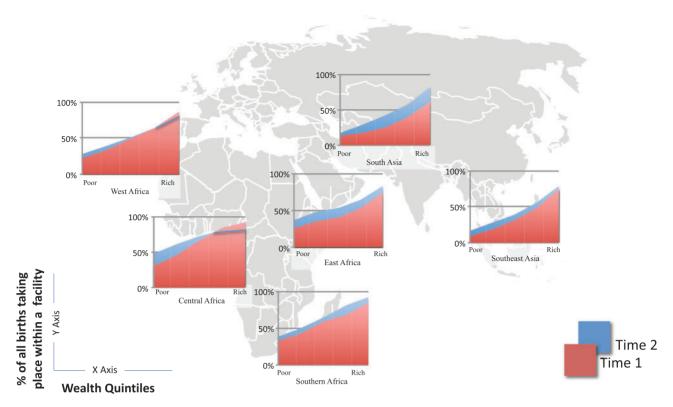


Figure 1. Trends in health facility deliveries across regions, by wealth quintiles (Source: Demographic and Health Surveys)

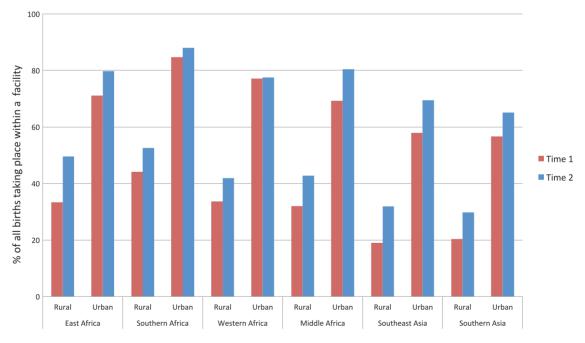


Figure 2. Trends in health facility deliveries across regions, by rural/urban (Source: Demographic and Health Surveys)

preferred to remain at home (Cammack 2011; Bucagu et al. 2012; Chambers and Booth 2012; Banda 2013). In Rwanda, this policy was, from the start, linked to facility improvements in infrastructure and staff, lowered costs, better medicines, and information campaigns. In Malawi, many of those broader systems improvements came after the policy and are still being created. Although the policies on home-assistance restrictions in Malawi were ultimately rescinded, the effects remain: both countries went from <30% of

deliveries in facilities at the turn of the millennium to, today, having >90% of all births delivered in clinics, hospitals and maternity homes. In other settings, for example Ghana, the introduction of free national insurance for pregnant women also led to increases in facility delivery (Dzakpasu et al. 2012).

Past studies have not addressed the mechanism by which global health efforts have shifted the number of women attending facility deliveries. Most of the work to understand factors associated with

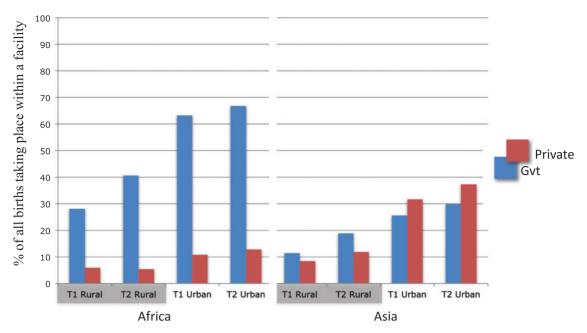


Figure 3. Public and private facility delivery trends across Africa and Asia, by urban/rural (Source: Demographic and Health Surveys)

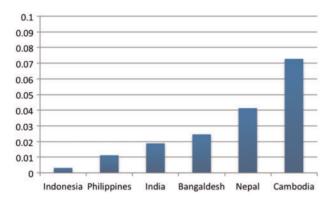


Figure 4. Annualized rate of change for each country in Asia sample (Source: Demographic and Health Surveys)

women delivering in a facility has emphasized individual demographic and household characteristics; however, accepted conceptual models suggest the importance of a wider range of determinants (Gabrysch and Campbell 2009; Moyer and Mustafa 2013; Diamond-Smith and Sudhinaraset 2015) including broader country and macro-level factors.

Consequences for maternal and neonatal health and the health systems serving women and children

In India the introduction of a national conditional cash transfer program in 2005, JSY, had a transformative effect (Lim et al. 2010). A conditional cash transfer is a payment made to individuals who meet a predefined condition; in the case of JSY, giving birth in a facility. It is today the largest conditional cash transfer in the world. The scheme varies slightly from state to state but, broadly put, pays a cash incentive of between \$15 and \$30 to women who deliver in facilities, government-operated or private.

The effects of ISY in India have been both larger and more rapid than anyone dared imagine a decade ago: across all wealth quintiles, in urban and rural areas, the proportion of deliveries taking place in facilities has gone from below 20% of deliveries to above 70% in

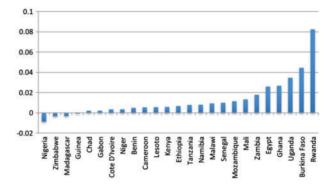


Figure 5. Annualized rate of change for each country in Africa sample (Source: Demographic and Health Surveys)

the past decade (Government of India 2012) and probably as high as 78.9% today ("NFHS 4 Fact Sheet," 2017). Giving birth in a clinic or hospital has gone from a rarity to the norm, although inequalities, and large variations between states and regions, do still exist (Lim et al. 2010; Dongre and Kapur, 2013). But despite the improvement in access to care and improved access to referral systems which are implied by facility deliveries, maternal and neonatal mortality rates remain stubbornly high. This lack of effect is seen not only in India, but also in other countries where deliveries have shifted from home to facility just as rapidly (Randive et al. 2013). In wealthy OECD countries, a health facility delivery is highly protective for both mother and newborn (Koblinsky et al. 1999). Indeed, this welldocumented protective effect is the reason why so much effort in Asia and Africa has focused on encouraging women to deliver in facilities rather than at home.

Why the same protective effect of facility delivery found in OECD countries is not apparent in low- and middle-income countries is not completely understood; however, some explanations are clear, and some theories seem likely. First, in many countries, the increase in facility deliveries has not been matched by an equivalent increase in the number of facilities, their staff, or their equipment. Clinics and health posts, often with pre-existing quality challenges, have been overwhelmed by increases in patient volume, and referral systems meant to assure that women with complicated deliveries move quickly from rural clinics to urban hospitals often do not work. A recent Lancet series highlighted the complexity of this issue, noting that both better infrastructure, and better, more nuanced, measurement of what drives behaviour are needed (Lieberman 2016).

While all governments recognize the need for multifaceted responses to high rates of maternal and neonatal mortality, lack of funding, lack of capacity and the quotidian challenges of policy changes mean that perfectly integrated responses are rare. More common is a step-wise introduction of some policies (i.e. cash incentives in India, restriction on traditional birth attendants in Malawi, user fee removals in many sub-Saharan countries) with a slower follow-up of quality improvements, transportation funds, communication and referral links, education outreach and the many other aspects of a holistic strategy. One consequence of this appears to have been, as noted above, that facility volumes increase first, and the health delivery system is left to catch up to the new reality.

Countries in which few women deliver in facilities may paradoxically have higher mortality rates among facility births than among home births. An explanation for this could be the effect of confounding in patient selection and bias in clinic reporting. When few women deliver in facilities, those who do are often those at risk or in need of urgent care, resulting in high rates of facility-reported mortality (Lohela et al. 2012). Also as noted by other researchers (Peters and Becker 1991), reporting of maternal deaths in clinics, even private clinics, is likely to always be better than at home, leading to perceived higher mortality rates in facilities. Together, these two characteristics of facilities—higher risk populations and higher reporting—may explain part of the high mortality associated with facility deliveries in low-facility-delivery countries.

At higher levels of facility use, when most children are born in a clinic or hospital, the confounding effects of patient self-selection should disappear, and may reverse: the remaining home deliveries being among populations too poor or distant from facilities to be able to choose an institutional delivery. In Europe, North America and the rest of the OECD, it seems that reporting differences between home and institutions are negligible, and that as nearly all births take place in facilities confounding from patient selection has no effect. When this is true, we see the protective effect of facilities clearly (Koblinsky et al. 1999).

In Asia and Africa, however, this is not uniformly the situation: in some countries where there are increasingly high levels of facility delivery, mortality remains high. Although home deliveries remain common in Sub-Saharan Africa, making up nearly half of all births, if facilities were protective, the increase in their use should lead to a decrease in mortality proportionate to their use. Assuming that the mortality rates are true and not the result of measurement, reporting or selection biases, we have to conclude that the reasons for the absence of improvement have to do with failures at the clinic and health system referral level.

Implications for quality improvement

The implications of this have been noted elsewhere more than once: the quality of care offered in facilities to women giving birth and to their newborn children must improve (Clapham et al. 2004; Audo et al. 2005; Say et al. 2009; Pitchforth et al. 2010; Graham and Varghese 2012; Graham et al. 2013; Nesbitt et al. 2013;). There are many ways to do this, for example, through better preparedness for emergency obstetric cases (Paxton et al. 2005), better ongoing

communication between staff members (Siassakos et al. 2009), integrated systems improvements (Merién et al. 2010), and financial incentives to providers, patients, and facility managers (Basinga et al. 2011) to name just a few. All have demonstrated positive results, and all are generally approved of by the global health community; however, the degree of active engagement has until now been underwhelming. Quality of care within facilities, which was important for the comparatively small numbers of women getting to facilities a decade or two ago is, we understand now, important for a much larger number of families as delivering in facilities is increasingly the norm rather than the exception for all women in all regions.

Improvements in facility staffing, infrastructure and services are at the heart of strategies for maternal and child health services in most countries. This is only a partial answer to quality failings, however (Koblinsky et al. 2016). Growing evidence shows that women with choices are able to judge poor quality, and increasingly often, react accordingly: studies across Africa have shown how women regularly bypass community clinics to reach first-level hospitals in search of quality services (Hansen et al. 2005; Kruk et al. 2009a, b, 2014). Across all parts of Asia and Africa, rapid improvements in roads and transport infrastructure mean that this trend to 'bypass' low-quality rural facilities in favour of larger, better options is likely to increase. Bypassing may be associated with the growth of privatefacility-based deliveries in Asia and in rural Africa, particularly when private care is supported by newly added or expanded coverage under national health insurance programs (as in Ghana, Kenya and India), and by growing urban wealth, particularly in Asia. The widespread difficulty in assuring measurement of quality and adherence to quality regulations within private facilities contributes significantly to the variability of quality within private hospitals and within overall health systems where the private sector is large (Campbell et al. 2016; Montagu and Goodman 2016).

While small obstetric facilities in a number of OECD countries have proven able to assure high-quality outcomes (Nesbitt et al. 1990; Tracy et al. 2006), below some minimum number of deliveries per annum, staff skill levels and infrastructure readiness cannot be assured (Moster et al. 2001). This is becoming evident in low- and middle-income countries as well. Evidence from India and elsewhere (Banerjee et al. 2008) indicates the limitations on what quality is possible in rural low-volume facilities. Analysis of geospatial data from Zambia suggests that facilities in sparsely populated rural areas will be unable to obtain the number of deliveries thought necessary to maintain the skills of providers (Gabrysch et al. 2011). Compounding this problem, when most women with choices go elsewhere, the women with urgent need and no choice end up at the facilities with the least practiced providers, and consequently the greatest quality challenges.

These challenges are compounded by the complexity of what we summarize above as 'quality'. Both the World Health Organization (WHO) and the United States' Institute of Medicine (IOM) recognize six domains of quality, all with inherent value themselves, and all affecting women's health-seeking behaviour, their access of medical care at an appropriate time, their understanding and compliance with medical advice, and their continuation of care for themselves and their children after giving birth. The domain of quality described above and determined by infrastructure, clinician skill, and facility volume are all components of Safety. But infrastructure, trained staff, and high volume do not, alone, lead to positive health outcomes (Souza et al. 2013). Assuring both outcomes and human rights requires attention to both safety and the other five domains of maternal health quality recognized by WHO: Effectiveness, Patient-Centeredness, Timely care, Efficiency and Equity (Tunçalp et al.

2015). Recognizing quality shortcomings is, not surprisingly given the complexity of the topic, much easier than addressing them.

What next?

The accumulated evidence from our analysis of demographic surveys, recent field research and our first-hand knowledge of policy changes in a large number of settings leads us to the conclusion that the first tier of the three-tier-model (health post, health centre, hospital) of health system services and referrals is not the adequate place for deliveries and newborn care. Close-to-community care centres will continue to be important for many health services but not for deliveries. If safety and other aspects of quality cannot be maintained in such low-volume settings, if women do not want to access low-quality facilities, if transport opportunities increasingly exist, and if most women are already, or will soon be, giving birth in a facility, then it behooves governments and the international community to support models of care that respond appropriately to this new reality.

We propose a shifting of resources that will respond to current and forecasted changes. We make four major policy recommendations. (i) An expansion of investment in mid-tier facilities for delivery services and a shift away from low-volume rural delivery facilities. (ii) Assure access for rural women through funding for transport infrastructure, travel vouchers, targeted subsidies for services, and residence support before and after delivery. (iii) Specialization of maternity facilities and dedicated maternity wards within larger institutions. And (iv) a renewed focus on quality improvements at all levels of delivery facilities, in both private and public settings.

Many of these ideas have been presented before in one form or another. Some, such as dedicated maternity wards, are already enshrined in WHO standards, are the norm in OECD countries, and have been part of calls for global policy attention in previous articles in this journal (Feldman and Hurst 1987; Koblinsky et al. 2006). Others are agreed on, but in the process of refinement; for example the defining signal functions that must be performed regularly in order to assure a clinic's readiness to offer obstetric care (Gabrysch et al. 2011). Nor is this the first time that changes in evidence have spurred a call for more attention and new strategies to address maternal and child health (Donnay 2000; Campbell and Graham, 2006; Koblinsky et al. 2016). We are encouraged by the knowledge that in the past, global policies have changed as a result of changing practices and more accurate understandings of need. The 1985 Nairobi Conference on Women led to a focus on and expansion of community health workers in many countries. The 1998 Health for All in the 21st Century initiative of WHO and the Millennium Development Goals provided a foundation of support to JSY and other national strategies aimed at increasing facility deliveries. Time and again the global community has proven itself attentive to new evidence on shifting care-seeking and treatment, and resulting in changes in the burden of disease for women and children.

Improvements to health occur within a system, and while our focus here is on delivery services, we recognize that the changes are contextual (Kruk et al. 2016). Health system improvements must respond to both the population served and where people go to access healthcare. The evidence shows clearly that maternal delivery norms have shifted in the past years, and that a matching shift in policies is needed to reap the health benefits which are now within reach. We need more research and more evidence, new policies should be piloted, their impact assessed, and adaptations appropriate for country context planned for. But most importantly, we need to acknowledge the changed environment and in response begin planning for changes in the health systems.

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